

Docket No.: DSW-002



17F13  
#5  
BT  
8-28-02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Navrit Singh, Calvin Woon, Rajinder Dhindsa

Serial Number: 09/978171

Filed: 10-15-2001

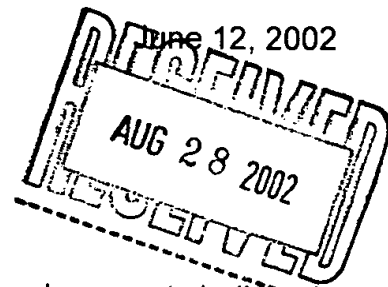
For: Alignment and Packaging Methods and Apparatus for Optoelectronic, Micro-Electro Mechanical Systems, and Optical

COPY OF PAPERS  
ORIGINALLY FILED

### INFORMATION DISCLOSURE STATEMENT FILED BEFORE MAILING OF FIRST OFFICE ACTION

Honorable Commissioner of Patents and Trademarks  
Washington DC 20231

Sir:



Attached hereto are form PTO-1449 and Form PTO/SB/08B listing documents believed relevant to the subject application. It is respectfully requested that these documents be considered by the examiner and an initialed copy of each form be returned to the undersigned.

This disclosure statement should not be construed as a representation that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. Furthermore, no admission is being made that these documents are prior art, and applicant reserves the right to challenge any such conclusion.

It is believed this disclosure complies with the requirement of 37 CFR 1.56 and the Manual of Patent Examining Procedures. It for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 6-12-2002.  
Printed name LARRY WILLIAMS  
Signature [Signature]

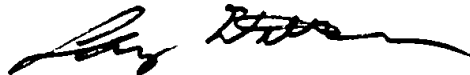
EXPRESS MAIL #

Date of deposit \_\_\_\_\_

A copy of each document is enclosed. Some of the documents may have markings thereon. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Please telephone the undersigned at (408) 396-1112 if there are any questions regarding this matter.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Larry Williams', with a long horizontal flourish extending to the right.

Larry Williams  
Reg. No. 39,428

122 Calistoga Rd., PMB-301  
Santa Rosa, CA 95409-3702  
Customer #25199



Form PTO/SB/08B

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 1.

Docket # DWS-002  
Application # 09/978171  
Applicant: Singh, Woon, & Dhindsa  
Filing Date: 10/15/01

**COPY OF PAPERS  
ORIGINALLY FILED**

**OTHER DOCUMENTS AND NON-PATENT LITERATURE DOCUMENTS**

Initials	Cite		T
	BA	US Application #60/240,279 filed 10/13/2000	

Examiner Signature

Date Considered

\_\_\_\_\_  
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form in next communication to the applicant.